

1.) CORPORATION NAME:

E*TRADE Financial Corporation

DUE DATE: **8/31/2011**

SCC ID NO: **F1801234**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CORPORATION SERVICE COMPANY

Bank of America Center, 16th Floor

1111 East Main Street

RICHMOND, VA 23219

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	4,000,000,000
PREFER	499,999
PREF A	1

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1271 AVENUE OF THE AMERICAS

CITY/ST/ZIP: NEW YORK, NY 10020-

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

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OFFICER

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DIRECTOR

NAME: LORI SHER
TITLE: ASST SECRETARY
ADDRESS: 671 N GLEBE ROAD
CITY/ST/ZIP/CO: ARLINGTON, VA 22203-

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OFFICER

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DIRECTOR

NAME: STEVEN FREIBERG
TITLE: CEO & Chairman
ADDRESS: 1271 AVENUE OF THE AMERICAS
CITY/ST/ZIP/CO: NEW YORK, NY 10020-

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OFFICER

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DIRECTOR

NAME: MICHAEL J CURCIO
TITLE: EVP
ADDRESS: 1271 AVENUE OF THE AMERICAS
CITY/ST/ZIP/CO: NEW YORK, NY 10020-

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OFFICER

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DIRECTOR

NAME: GREGORY A FRAMKE
TITLE: EVP, CI&OO
ADDRESS: 1271 AVENUE OF THE AMERICAS
CITY/ST/ZIP/CO: NEW YORK, NY 10020-

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OFFICER

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DIRECTOR

NAME: MATTHEW J. AUDETTE
TITLE: EVP, CFO
ADDRESS: 1271 AVENUE OF THE AMERICAS
CITY/ST/ZIP/CO: NEW YORK, NY 10020-

NAME:	KARL A ROESSNER	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	EVP, GEN COUNSE		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	NICHOLAS A UTTON	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	EVP, CMO		
ADDRESS:	11271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	RONALD D FISHER	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	KENNETH C GRIFFIN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	FREDERICK W KANNER	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	MICHAEL K PARKS	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	LEWIS E RANDALL	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	JOSEPH M VELLI	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	DONNA L WEAVER	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
NAME:	STEPHEN H WILLARD	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	1271 AVENUE OF THE AMERICAS		
CITY/ST/ZIP/CO:	NEW YORK, NY 10020-		
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.			

<u>/s/ LORI SHER</u>	<u>LORI SHER, ASST SECRETARY</u>	<u>8/30/2011</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.		